

1AP20 Rec'd PCT/PTO 20 APR 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No. : Not Yet Assigned (PCT/JP2003/013529)
First Named Inventor : Teruhiko MINEGISHI
Filed : April 20, 2006
TC/A.U. : Not Yet Assigned
Examiner : Not Yet Assigned

Docket No. : 056205.57632US
Customer No. : 23911

Title : Exhaust Gas Recirculation Device for Internal Combustion Engine

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.97 AND 1.98**

Mail Stop PCT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, the attached Form PTO-1449 lists documents which the Examiner may deem relevant to patentability of the claims of the above-identified application.

I. Time Period of Submission

This Information Disclosure Statement is submitted:

☒ 1) no later than three months from the application's filing date or 2) before the mailing date of the first Office Action on the merits (whichever is later) or 3) before a first Office Action after the filing of a Request for Continued Examination, and therefore no statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

☐ 2) after the later of three months from the application's filing date and the mailing date of the first Office Action on the merits, but before a Final Office Action, a Notice of Allowance, or an action closing prosecution (*Ex parte Quayle*), (whichever is earlier), and therefore Applicant is filing concurrently herewith:

☐ a Statement under 37 C.F.R. § 1.97(e); or

☐ a fee in the amount of \$180.00 under 37 C.F.R. § 1.17(p).

☐ 3) after either a Final Office Action or a Notice of Allowance, but before payment of the Issue Fee, and therefore Applicant is submitting herewith:

a Statement under 37 C.F.R. § 1.97(e); and

a fee in the amount of \$180.00 under 37 C.F.R. § 1.17(p).

II. Statement Under 37 C.F.R. § 1.97(e)

☐ I hereby state that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; or

☐ I hereby state that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to my knowledge after making a reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement; or

III. Statement under 37 C.F.R. § 1.704(d)

☐ I hereby state that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement.

IV. Submission of Non-English Language Documents

☒ The following is a concise explanation of relevance of the non-English language documents listed in the attached Form PTO-1449:

☒ The relevance of document(s) AN-AP to the subject matter of the present invention is/are provided in the specification of the above-identified application.

☒ Corresponding foreign or international report(s) citing document(s) AB-AJ, together with an English-language version(s) (if not already in English) of that portion of the report(s) indicating the degree of relevance found by the foreign office(s) is/are submitted.

☒ English language family member publication(s) of document(s) AB, AJ, AL and AP is/are noted on Form PTO-1449.

☒ English language abstract(s) is/are submitted for document(s)
AB-AJ, AL and AN-AP.

☐ English translation(s) of the foreign language document(s)
_____ is/are submitted herewith.

☐ Applicant submits the following explanations:
_____.

V. Continuations/Divisionals

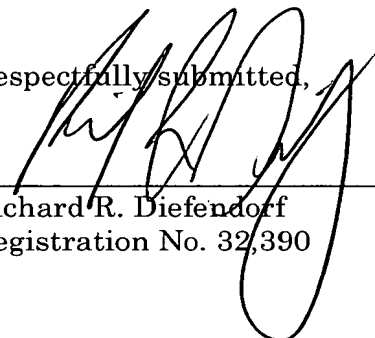
☐ Documents _____ were of record in parent application Serial No.
_____, filed _____, from which this application claims benefit. As
provided in 37 C.F.R. §1.98(d), copies of the documents are not being provided
since they were previously submitted to or cited by the United States Patent and
Trademark Office in the afore-mentioned parent application.

The submission of the listed documents is not intended as an admission
that any such document constitutes prior art against the claims of the present
application. Applicant does not waive any right to take any action that would be
appropriate to antedate or otherwise remove any listed document as a competent
reference against the claims of the present application.

If necessary, this paper should be considered as an authorization to charge
Deposit Account 05-1323, Attorney Docket No.: 056205.57632US, for the fee set
forth in 37 C.F.R. § 1.17(p).

Respectfully submitted,

Date: April 20, 2006



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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Complete if Known	
		Application Number	9104576445
		Filing Date	April 20, 2006
		First Named Inventor	Teruhiko MINEGISHI
		Art Unit	
		Examiner Name	
Sheet 1 of 1	Attorney Docket Number	056205.57632US	

U.S. PATENT DOCUMENTS					
Examiner Initials ¹	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	US- 2002/0108603 A1	08-15-2002	Wienand et al.	
		US-			
		US-			
		US-			
		US-			
		US-			

FOREIGN PATENT DOCUMENTS						
Examiner Initials ¹	Cite No. ¹	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
	AB	JP 2003-166445	06-13-2003	Yokoyama Hitoshi et al.		Abstract
	AC	JP 2001-152916	06-05-2001	Fuse Toru		Abstract
	AD	JP 10-184408	07-14-1998	Uchida Masaaki		Abstract
	AE	JP 07-83086	03-28-1995	Isobe Taiji		Abstract
	AF	JP 2003-222036	08-08-2003	Tetsuno Masayuki et al.		Abstract
	AG	JP 2000-8965	01-11-2000	Goto Moriyasu et al.		Abstract
	AH	JP 8-277752	10-22-1996	Nakamura Masao et al.		Abstract
	AI	JP 3303274	05-10-2002	Machida Kenichi et al.		Abstract
	AJ	JP 54-30319	03-06-1979	Masaki Kenji et al.		Abstract
	AK	EP 1316702 A2	06-04-2003	Jin Yokoyama et al.		
	AL	DE 2835155	02-22-1979	Masaki Kenji et al.		Abstract
	AM	GB 2002548 A	02-21-1979	Kenji Masaki et al.		
	AN	JP 2003-83034	03-19-2003	Tanaka Tamon et al.		Abstract
	AO	JP 3329711	07-19-2002	Nakajima Tatsushi et al.		Abstract
	AP	JP 2003-516496	05-13-2003	Wienand et al.		Abstract

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.